



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/823,411 Confirmation No. 3240
Applicants : Scott C. ANDERSON, et al.
Filed : April 12, 2004
Title : APPARATUS AND METHOD FOR ABLATING TISSUE
TC/AU : 3739
Examiner : David M. SHAY
Docket No. : 0E-040042US / 82410.0181
Customer No. : 55962

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant submits copies of the references listed on the attached PTO/SB/08A Form for consideration and requests that the U.S. Patent and Trademark Office make them of record in this application.

Applicant states the following:

☐ It is believed that each of the references identified in the attached PTO/SB/08 was submitted and considered in one or more of the related applications, to which the application referenced above claims priority. More particularly, each of the references identified in the attached PTO/SB/08 was submitted and considered in connection with U.S. patent application serial number _____.

☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement; or

☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of Applicant(s) no item of information contained in this Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

☒ In accordance with 37 C.F.R. § 1.97(b), this Information Disclosure Statement is believed to be submitted prior to issuance of a first Office Action and/or within three months of the filing date of the application. It is respectfully submitted that no fee is required for consideration of this information. However, in the event any fee is deemed necessary, the Commissioner is authorized to charge the undersigned's Deposit Account No. 50-1129.

☐ This Information Disclosure Statement is being submitted after the mailing of a non-final Office Action, but is believed to be prior to a final Office Action or a Notice of Allowance. Pursuant to 37 C.F.R. § 1.97(c), payment in the amount of \$180.00 as set forth in 37 C.F.R. § 1.17(p) is enclosed. The Commissioner is hereby authorized to charge any deficiency in the fees or charge any fee required to keep this application pending to our Deposit Account No. 50-1129.

While the information and references disclosed in this Information Disclosure Statement are submitted pursuant to 37 C.F.R. § 1.56, this submission is not intended

to constitute an admission that any patent, publication or other information referred to is "prior art" to this invention. Applicants reserve the right to contest the "prior art" status of any information submitted or asserted against the application.

Additionally, Applicant wishes to inform the Examiner of the existence of the following co-pending U.S. patents and patent applications that share a common inventor with the present application:

U.S. Patent Application No. 09/507,336, filed February 18, 2000, entitled "Apparatus and Method for Ablating Tissue";

U.S. Patent Application No. 10/171,411, filed June 12, 2002, entitled "Surgical System and Procedure for Treatment of Medically Refractory Atrial Fibrillation";

U.S. Patent Application No. 10/238,937, filed September 10, 2002, entitled "Methods and Devices for Ablation";

U.S. Patent Application No. 10/879,975, filed June 28, 2004, entitled "Apparatus and Method for Ablating Tissue";

U.S. Patent Application No. 10/879,996, filed June 28, 2004, entitled "Apparatus and Method for Ablating Tissue";

U.S. Patent Application No. 11/058,108, filed February 15, 2005, entitled "Methods and Devices for Ablation";

U.S. Patent Application No. 11/129,056, filed May 13, 2005, entitled "Apparatus and Method for Diagnosis and Therapy of Electrophysiological Disease";

U.S. Patent Application No. 11/237,619, filed September 27, 2005, entitled "Methods and Devices for Ablation";

U.S. Patent Application No. 11/401,345, filed April 11, 2006, entitled "Methods and Devices for Ablation";

U.S. Patent Application No. 11/401,357, filed April 11, 2006, entitled "Methods and Devices for Ablation";

U.S. Patent Application No. 11/600,751, filed November 17, 2006, entitled "Methods and Devices for Ablation."


In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists. This Information Disclosure Statement is in compliance with 37 C.F.R. § 1.98 and the Examiner is respectfully requested to consider the listed documents and information.

Dated: February 21, 2007

Respectfully submitted,

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/823,411
Filing Date	April 12, 2004
First Named Inventor	Scott C. ANDERSON
Art Unit	3739
Examiner Name	David M. SHAY
Attorney Docket Number	0E-040042US / 82410.0181

Sheet 1 of 3

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A1	US- 6,161,543	12/19/2000	COX, et al.	
	A2	US- 6,237,605	05/29/2001	VASKA, et al.	
	A3	US- 6,311,692	11/06/2001	VASKA, et al.	
	A4	US- 6,314,962	11/13/2001	VASKA, et al.	
	A5	US- 6,314,963	11/13/2001	VASKA, et al.	
	A6	US- 6,484,727	11/26/2002	VASKA, et al.	
	A7	US- 6,474,340	11/05/2002	VASKA, et al.	
	A8	US- 6,949,095	09/27/2005	VASKA, et al.	
	A9	US- 6,929,010	08/16/2005	VASKA, et al.	
	A10	US- 6,805,128	10/19/2004	PLESS, et al.	
	A11	US- 6,805,129	10/19/2004	PLESS, et al.	
	A12	US- 6,645,202	11/11/2003	PLESS, et al.	
	A13	US- 6,719,755	04/13/2004	SLIWA, et al.	
	A14	US- 6,701,931	03/09/2004	SLIWA, et al.	
	A15	US- 6,689,128	02/10/2004	SLIWA, et al.	
	A16	US- 6,858,026	02/22/2005	SLIWA, et al.	
	A17	US- 6,527,767	03/04/2003	WANG, et al.	
	A18	US- 6,840,936	01/11/2005	SLIWA, et al.	
	A19	US- 6,971,394	12/06/2005	SLIWA, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet **2** of **3****U. S. PATENT DOCUMENTS**

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		Number-Kind Code ² (if known)			
	A20	US- 20040106918	06/03/2004	COX, et al.	
	A21	US- 20030078571	04/24/2003	SLIWA, et al.	
	A22	US- 20050251125	11/10/2005	PLESS, et al.	
	A23	US- 20050033274	02/10/2005	PLESS, et al.	
	A24	US- 20050245918	11/03/2005	SLIWA, et al.	
	A25	US- 20060004352	01/05/2006	VASKA, et al.	
	A26	US- 20060200119	09/07/2006	VASKA, et al.	
	A27	US- 20060135954	06/22/2006	SLIWA, et al.	
	A28	US- 20060184167	08/17/2006	VASKA, et al.	
	A29	US- 5,871,449	02/16/1999	BROWN	
	A30	US- 6,030,379	02/29/2000	PANESCU	
	A31	US- 6,142,994	11/07/2000	SWANSON, et al.	
	A32	US- 6,171,303	01/09/2001	BEN-HAIM, et al.	
	A33	US- 6,575,696	06/10/2003	RITTMAN, et al.	
	A34	US- 6,858,003	02/22/2005	EVANS, et al.	
	A35	US- 5,971,983	10/26/1999	LESH	
	A36	US- 5,571,088	11/05/1996	LENNOX, et al.	
	A37	US- 5,575,772	11/19/1996	LENNOX	
	A38	US- 6,004,269	12/21/1999	CROWLEY, et al.	

FOREIGN PATENT DOCUMENTS

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